IAP6 Rec'd PCT/PTO 12 FEB 2007

CERTIFICATE OF MAILING

fereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/561,897

Confirmation No.: 3092

Applicant

Chris Sheffield et al.

Title

AUCTION SYSTEM

Filed

December 22, 2005

TC/A.U.

(not yet assigned)

Examiner

(not yet assigned)

Docket No.

1512.2.160

Customer No.

21552

Mail Stop Missing Parts Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION--FILING DATE GRANTED

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed January 10, 2007, enclosed please find the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) Declaration and Power of Attorney;
- **(2)** Assignment with corresponding Recordation Cover Sheet;
- (3) Fee Transmittal Form;
- Credit Card Payment Form in the amount of \$130.00 to cover the surcharge; (4)

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(5) Credit Card Payment Form in the amount of \$40.00 for recordation fee for the Assignment; and

(6) a copy of the Notice to File Missing Parts of Application - Filing Date Granted.

Please debit any additional fees, or credit any over payment, to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 13-0763. A duplicate copy of this sheet is enclosed.

- $\underline{\mathbf{x}}$ Any additional filing fees required under 37 C.F.R. § 1.16.
- \underline{x} Any patent application processing fees under 37 C.F.R. § 1.17.
- x Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this application to the attention of the undersigned.

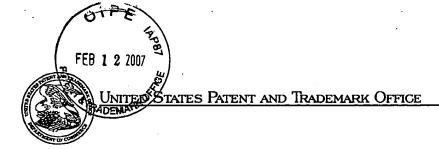
Respectfully submitted,

Reg. No. 29 407

Attorney for Applicant(s)

Date: February 8, 2007

MADSON & AUSTIN
Gateway Tower West
15 West South Temple, Suite 900
Salt Lake City, Utah 84101
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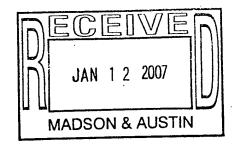
PET

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.upto.gov \$ CC

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/561,897 Chris Sheffield 1512.2.160

21552 MADSON & AUSTIN GATEWAY TOWER WEST SUITE 900 15 WEST SOUTH TEMPLE SALT LAKE CITY, UT 84101



INTERNATIONAL APPLICATION NO.

PCT/GB04/02760

I.A. FILING DATE PRIORITY DATE

06/28/2004 06/26/2003

CONFIRMATION NO. 3092
371 FORMALITIES LETTER
OC000000021909504

Date Mailed: 01/10/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/22/2005
- Copy of the International Search Report filed on 12/22/2005
- Preliminary Amendments filed on 12/22/2005
- U.S. Basic National Fees filed on 12/22/2005
- Priority Documents filed on 12/22/2005
- Specification filed on 12/22/2005
- Claims filed on 12/22/2005
- Abstracts filed on 12/22/2005
- Drawings filed on 12/22/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
 or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
 missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

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• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filling a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/561,897	PCT/GB04/02760	1512.2.160

FORM PCT/DO/EO/905 (371 Formalities Notice)

PTO/SB/17 (12-04)

801-537-1700

Telephone

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE ne Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Fees by suggest to the Consolidated Appropriations Act, 2005 (H.R. 4818). Complete if Known FEE TRANSMITTAL Application Number 10/561,897 Filing Date December 22, 2005 for FY 2005 First Named Inventor Chris Sheffield ☐ Applicant claims small entity status. See 37 CFR 1.27 **Examiner Name** Group / Art Unit TOTAL AMOUNT OF PAYMENT (\$) 130.00 Attorney Docket No. 1512.2.160 METHOD OF PAYMENT (check all that apply) None Check Credit Card Money Order Other (please identify): Deposit Account Name: ____ Madson & Austin Deposit Account Deposit Account Number: For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Credit any overpayments Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION 1. BASIC FILING, SEARCH AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES Small Entity Small Entity Small Entity** Fees Paid (\$) Application Type Fee(\$) Fee (\$) <u>Fee (\$)</u> Fee (\$) Fee (\$) Fee (\$) Utility 250 200 100 150 500 130 65 Design 200 100 100 50 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 Provisional 200 100 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) Fee Description 25 Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 100 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 Multiple dependent claims 360 180 **Multiple Dependent Claims Total Claims Extra Claims** Fee (\$) Fee Paid (\$) Fee Paid (\$) - 20 or HP = Fee (\$) HP = Highest number of total claims paid for, if greater than 20 **Extra Claims** Indep. Claims Fee (\$) Fee Paid (\$) ~ - 3 or HP = HP = Highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings excel 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41 (a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee Paid (\$) Total Sheets Extra Sheets (round up to a whole number) - 100 = / 50 = 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) \$130 Surcharge Fee Other: SUBMITTED BY February 8, 2007 Signature Date

This collection of information is required by 37 GFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this pyrden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEMP FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No. (Attorney/Agent) 29,407

Craig J. Madson

Name (Print/Type)